* Title Ideas:
  + AI for Penetration Testing: Exploring the Intersection of Artificial Intelligence and Cybersecurity
  + Structured Approaches in Penetration Testing: Navigating the Landscape of Cybersecurity Automation and AI Advancements
  + AI-Powered Penetration Testing: Navigating the Phases of Cybersecurity

# **Introduction**

In an age defined by the relentless increase of technology, the growing digital landscape has become both a playground for innovation and a battleground for cyber threats. As organizations increasingly rely on technology to operate, communicate, and store critical data, safeguarding these assets against potential adversaries becomes paramount. This realization has given rise to Penetration Testing (PT) as a vital and proactive strategy that allows organizations to simulate cyberattacks on their systems to discover and eliminate dangerous vulnerabilities. PT, often referred to as ethical hacking, is the “offensive approach” of probing and assessing computer systems, networks, and applications “to actively identify vulnerabilities and then exploit them in the same way as a genuine attacker [1].”

This offensive approach to PT emphasizes the importance of following a structured process to systematically evaluate a system's security. While the specific phases of PT may exhibit variations in terminology depending on the source, their fundamental organization remains the same: preparation, implementation, and analysis [2]. However, as the complexity and scale of networks continue to expand, traditional manual methods need help to cope with the substantial workload inherent to this process. Penetration testers (pentesters) involved in this field must maintain a continuous regimen of training and practical skill development to remain at the forefront. Unfortunately, this excessive pressure, combined with the recent shortage of pentesters, has left many in the field feeling overburdened and overtired [1].

This situation has prompted a growing trend toward integrating automation technologies, including Artificial Intelligence (AI), Machine Learning (ML), and Reinforcement Learning (RL) into PT. Automated PT can significantly reduce the time and resources required for testing, making it a crucial development in the field. While extensive research has already begun to explore this integration [1] [3], many of these approaches still require manual human intervention for vulnerability identification. However, recent innovative methods have emerged that leverage neural networks to gain a deeper understanding of the intricate and dynamic security environments within modern networks, ultimately enhancing the role of AI in PT. This evolution is a pivotal moment for cybersecurity and AI as they converge to tackle digital threats.

# **Project Scope (Research Question, Importance of the Research Questions)**

Define the scope and objectives of the research project.

Highlight the importance of leveraging AI in penetration testing.

Outline research question guiding the investigation.

The rapidly evolving cybersecurity landscape, with its complex and labor-intensive strategies, stands poised to significantly benefit from recent advancements in AI technology, including the potential for innovative applications and techniques. This surge in AI's significance is crucial for cybersecurity endeavors such as PT, the linchpin of proactive cybersecurity. The integration of AI in PT has the potential to level the playing field and empower defenders to anticipate and mitigate threats effectively.

The motivation behind the project stems from a desire to comprehensively explore modern threats and current PT methods. It then aims to delve into the integration of various AI techniques, including ML, RL, and Deep Reinforcement Learning (DRL), into the practice of PT.

The core objective of this project revolves around a fundamental question: How can AI techniques be effectively harnessed for each stage of the PT process? This question is pivotal, especially as the power of AI attracts cybercriminals, as it demands that cybersecurity professionals keep pace with its rapid integration. Relying solely on traditional PT methods may render pentesters ill-equipped to effectively address modern threats. This project hopes to offer value not only to cybersecurity defenders but also to ethical "white-hat" hackers, security analysts, and cybersecurity researchers by providing insights into popular AI tools and techniques, to help refine their ability to assess and secure systems efficiently.

This hope is that a shift towards intelligent automation could not only significantly reduce the time and resources required for comprehensive testing, but also serve as a remedy for many of the prevalent and "recurrent human errors" in manual PT that stem from factors such as "tiredness, omission, and pressure" [1]. This transformation in the field, as highlighted by [3], signifies the use of "advanced algorithms, machine learning, and AI to scan systems for vulnerabilities," offering significant improvements across multiple facets of PT. By navigating this dynamic landscape intelligently, this evolution serves not just as a response to current demands, but as a proactive strategy to better enable organizations to bolster their defenses against emerging threats.

In order to underscore the significance of AI methodically, this project will be explicitly developed around the PT phases as outlined by Splunk [4].

* Phase 1 – Gathering Information: The initial phase involves the meticulous compilation of data about the target system and potential vulnerabilities. This step forms the foundation for the subsequent phases and provides a comprehensive understanding of the target's landscape.
* Phase 2 – Scanning: Following the information gathering, this phase focuses on verifying potential vulnerabilities as exploitable. Through systematic scanning of networks, vulnerabilities, or web applications, the penetration tester identifies weak points that may potentially be exploited in further stages.
* Phase 3 – Exploitation: Building upon the identified vulnerabilities, the exploitation phase strategically leverages these weaknesses to gain access to the target system. This step is crucial for simulating real-world cyberattacks and understanding potential points of compromise.
* Phases 4, 5, 6 – Post Exploitation: These three phases, collectively known as Post Exploitation, play a pivotal role in the aftermath of successful penetration testing. In this category, the pentester navigates through: Maintaining the Connection, Covering Tracks, and Reporting. Immediately after successful exploitation of vulnerabilities and gaining unauthorized access to a target system, maintaining a connection allows the penetration tester to establishing a persistent connection, and ensure ongoing access to the compromised system. Once access is secured, the pentester will attempt to minimize the risk of detection by erasing or obfuscating any evidence of their presence. Lastly, and most importantly, the pentester must document and communicate vulnerabilities discovered, methods used for exploitation, and remediation recommendations. To facilitate future discussions, the term 'Post Exploitation' will be used to collectively represent these phases.

Examining the integration of AI through each phase individually offers insights into its role and overall impact in enhancing PT.

# **Current Limitations and Gaps**

Discuss the limitations and gaps

However, addressing the current landscape of research in the integration of AI in PT reveals several notable limitations. Firstly, there exists a significant gap in research and testing within this domain, thereby signifying an unexplored terrain ripe for investigation. While research has begun exploring this novel integration [1] [3], many of these approaches are limited by their reliance on manual human intervention for vulnerability identification and exploitation. While this reliance hinders the full realization of AI's potential in the PT process, transitioning towards a fully autonomous solution presents complex technical hurdles. For example, the shift to intelligent, real-time detection would require addressing issues of data accuracy, model robustness, and environment adaptability.

To ensure data accuracy, it is essential that all input data is precise and reliable. However, achieving this precision in dynamic network environments can be challenging since data is often incomplete, outdated, or biased; this is especially dangerous in PT as these inaccuracies can lead to the misinterpretation of vulnerabilities or threats and potentially result in ineffective security measures. Therefore, data accuracy is vital for ensuring model robustness. For truly effective threat detection, models must be capable of adapting to evolving threats and environments in order to perform consistently across diverse scenarios. This level of adaptability requires sophisticated algorithms that can understand and interpret incomplete or biased data while accounting for various attack vectors, software vulnerabilities, and system configurations.

The integration of AI in PT is a relatively novel field, where these challenges of ensuring accuracy, robustness, and adaptability are still being navigated and tested. Due to its infancy, research in this domain is notably limited and requires further exploration and innovation before a fully automated PT tool becomes reality. While existing research in AI-driven PT provides valuable insights and lays essential groundwork, its main focus is on the initial phases of PT, such as vulnerability discovery and exploitation. This emphasis, while crucial, often overshadows the potential for AI to revolutionize the equally-important post-exploit phases; the recognition of this gap is essential for advancing the field. As this report delves into the Literature Review, it will examine existing solutions to these discussed challenges. Then, by exploring current research in the field, this report can identify existing solutions and use their insights to pave the way for innovative approaches to overcome current limitations and advance the field of AI in PT.

# **Literature Review**

Provide a comprehensive review of existing literature on AI in penetration testing.

Identify key studies, methodologies, findings, and relevance in the field.

## Review 1: Gathering Information with AI and Reinforcement Learning

### Introduction

The report by Ghanem and Chen focuses on the initial step of PT, known as Gathering Information; its primary focus is on how the integration of AI, particularly RL, can revolutionize this critical phase. RL has quickly become one of the most important PT advancements, resulting from the recent integration of AI and cybersecurity. This transformative approach to ML enables systems to learn through experiences from interactions with their environments. The incorporation of RL into automated PT techniques not only increases productivity, but also limits common human errors. However, existing automation systems have limitations in their scope and optimization that result in an inability to comprehensively address all potential threats while efficiently managing resources. Recognizing these challenges, Ghanem and Chen's research paper sets forth to employ ML techniques in the development of an Intelligent Automated Penetration Testing System (IAPTS) that will be “capable of imitating human PT experts in performing an intelligent and automated pen test [5].”

### Summary

This research delves into the complexities of PT, an area that humans themselves often find challenging. The authors emphasize that blind automation, which entails complete automation without any human intervention, is impractical. This is particularly true during the initial phases of PT as the explorative nature often yields incomplete conclusions and requires continuous revisitation/changes in approach. As such, utilization of AI at this stage tends to result in uncertainty. However, the authors suggest that by using RL to automate these phases intelligently, it can more closely resemble a human expert’s decision-making process.

The challenges associated with automation in PT are not new, as autonomous systems have been employed in the industry for some time. However, these current systems often require substantial hands-on guidance, extensive time and resources, and are limited to smaller networks. Especially considering “PT should be repeated and performed on a regular basis to ensure continuous security,” Ghanem and Chen's work suggests that intelligent automation holds the key to significantly improving various aspects of PT [5]. These improvements would not only reduce the cost of manual, repetitive, and methodical testing but could also make PT more efficient and targeted. This streamlining and automation of repetitive tasks would reduce testing time, foster adaptability, and facilitate the exploration of innovative and unconventional techniques.

With this objective in mind, the authors advocate for the use of RL in PT, noting that RL aligns well with the “goal-directed learning and decision-making processes” required in the PT context [5]. Unlike manually created rules and configurations, RL learns through the consequences of its interactions, focusing on long-term goals rather than short-term fixes. This emphasis on RL represents a crucial step in addressing the challenges posed by PT automation and is converted into a formal computational model known as a Partially Observed Markov Decision Process (POMDP).

### Methodologies

The methodologies employed in Ghanem and Chen's research revolve around the innovative application of RL within the framework of POMDP. This approach seeks to address the challenging PT scenario where an “agent cannot determine with full certainty the true state of the environment” by encompassing essential elements such as state observations, selection policies, dynamic transitions, and rewards [6]. Within this framework, an RL agent learns to make decisions based on its observations, with the goal of maximizing cumulative rewards. The strategies executed by the RL agent that returns the largest reward value are then stored in memory for similar cases in the future, thus enabling it to autonomously tackle complex PT problems.

Ghanem and Chen tackle these challenges by integrating a combination of advanced algorithms, PERSEUS and PEGASUS, which are specifically designed for solving POMDPs. PERSEUS, a “randomized point-based value iteration” algorithm, simulates various random scenarios to obtain a set of educated guesses, which is referred to as a belief set [5]. These guesses represent possible situations or states of the environment based on the limited information available to the AI agent. This understanding is then improved gradually, as the algorithm updates its belief set after every simulation to ensure that each value either improves or at least remains constant [6].

Alternatively, the PEGASUS algorithm is a policy search method that seeks to determine optimal sequences of actions, known as policies, that maximize cumulative rewards over time. It transforms the problem into an equivalent deterministic POMDP, where each state-action pair has only one possible outcome. PEGASUS then conducts a set number of simulations, iteratively refining the policies to maximize their estimated cumulative reward value [6]. This approach is particularly effective in solving large POMDPs, making it suitable for addressing the challenges posed by PT, as it contains a “polynomial rather than exponential” time complexity, making it suitable for large-scale PT scenarios [5].

During the learning process for their proposed system, IAPTS relies on human input as experts provide knowledge to teach the system. However, over time, the system evolved, gaining the potential to develop autonomous learning modules that reduce the need for manual intervention. This evolution aligns with the various operational modes of IAPTS ranging from fully autonomous (Level 4) to learning mode (Level 1), where a human expert performs PT while the system observes and learns.

The primary goal of testing IAPTS was not only to evaluate its capabilities but also to demonstrate the suitability and effectiveness of applying RL to PT. The researchers conducted two main types of tests within controlled environments: Simple Simulation and Experience Replay. In the Simple Simulation, they set up a simulated network consisting of seven machines (M0 to M6) to mimic real-world PT scenarios. This allowed them to gain insights into how IAPTS would perform under various conditions, measure its performance metrics, assess execution times, and identify potential weaknesses. Alternatively, for the Experience Replay tests, the researchers simulated scenarios in which the same network underwent updates and upgrades. These tests aimed to evaluate how well IAPTS learned and adapted to changes in the network, further confirming its potential for automating PT processes.

### Main Findings

The main findings of Ghanem and Chen's research paper provide valuable insights into the field of PT. In their Experience Replay tests, they discovered that the system successfully learned and stored knowledge from previous tests, with policies being effectively reused in most instances. This highlights the system's adaptability and capability to learn from past experiences, a crucial feature for PT automation. When compared to traditional manual methods, which rely on human expertise, and the blind automation approach, where tasks are automated but lack intelligent decision-making, IAPTS, significantly reduces the time required for testing and outperforms both approaches in terms of efficiency and effectiveness. This not only saves time and resources but also generates alternative attack strategies that humans may overlook.

The RL-generated attack policies also proved to be highly relevant and accurate, especially when targeting the most secure machine in the network. These policies were deemed plausible and realistic, mirroring how actual attackers might approach and execute an attack on the target system. Additionally, IAPTS was intentionally designed with flexibility in mind, permitting the seamless incorporation of new features and functionalities in the future. This modern design ensures IAPTS remains a versatile and evolving tool in the field of PT, through continual enhancement of its performance and capabilities.

### Relevance

This research paper offers a comprehensive overview of PT, including its purpose, advantages, disadvantages, and the intricate challenges associated with its pertinence to the first step in PT - Gathering Information. By emphasizing the extensive data collection and assessment required during manual execution of this phase, the authors highlight the necessity for discussions on automation in AI. Through practical simulations, the authors demonstrate how these solutions can significantly reduce human effort, enhance accuracy, improve adaptability, and expedite tasks, ultimately proving that automation can make the PT processing more efficient.

This report also introduces advanced techniques, such as RL and POMDPs, within the context of PT. RL, being a subset of AI, holds particular relevance in automating various phases of PT. It also highlights the practicality and adaptability of RL by exploring its application in partially observable environments, utilizing belief sets instead of the Q-tables in a fully observable scenario. This incorporation of RL and POMDPs in partially observable environments not only signifies the direction of future research but also illustrates that automated PT is an evolving field marked by ongoing developments. As such, this paper not only demonstrates the current achievements, but also serves as a preview of the extensive possibilities and potential advancements within the field.

Therefore, this paper provides additional context to PT, especially in the initial Gathering Information phase, and explains how advanced AI-driven techniques, such as RL, are transforming the field. Overall, the primary goal is to serve as a useful resource to introduce the challenges of PT, automation as a solution, and the application of AI in enhancing cybersecurity practices.

## Review 2: Enhancing the Scanning Phase with GyoiThon

### Introduction

The research paper “Penetration Testing Procedure using Machine Learning” focuses on the second phase of PT - the scanning phase, with a particular focus on assessing the effectiveness of GyoiThon. GyoiThon is a PT tool integrated with ML capabilities, specifically leveraging the Naïve Bayes algorithm, that primarily focuses on automating data acquisition from target URLs [7]. This integration represents a significant advancement within the field of cybersecurity, as it not only enhances the speed and efficiency of vulnerability detection, but also introduces the potential for more precise identification of security weaknesses. By leveraging other PT tools to enhance its capabilities, GyoiThon extends its utility beyond traditional methods. It automates the process of gathering data from target URLs, thus streamlining the scanning phase while reducing the time and effort required by pentesters.

### Summary

In this study, the researchers set out to address the fundamental research question: ‘How effective is the GyoiThon tool in detecting vulnerabilities [7]?’ The hypothesis guiding this exploration speculates that PT tools integrating ML algorithms will exhibit greater effectiveness in searching for and identifying vulnerabilities compared to their non-ML counterparts. To highlight this premise, the paper briefly examines common ML-based PT methods used in the field, including tools known for simulating real-world attacks, detecting vulnerabilities, and addressing security weaknesses. Their analysis provided valuable context and benchmarks for evaluating GyoiThon's performance and offers support for their hypothesis regarding the capabilities of using ML in PT.

This exploratory study places a particular emphasis on comparing GyoiThon's default mode with its ML mode, executing each of them within controlled environments. Through these experiments, the researchers explore the capabilities of GyoiThon and showcase its ability to enhance PT. By exploring the effectiveness of GyoiThon, the authors assess its efficiency in detecting known vulnerabilities, identifying software components, discovering configuration weaknesses, highlighting authentication issues, and pinpointing general web application vulnerabilities [7]. These capabilities emphasize GyoiThon's pivotal role in the scanning phase of PT, highlighting the demand for advanced tools and techniques to navigate the complex landscape of cybersecurity.

### Methodologies

The methodology employed in this report is particularly significant as it delves into a novel area of interest within cybersecurity. It's worth noting that this comprehensive study of GyoiThon represents a unique endeavor, as the only prior study into the capabilities of the tool was conducted by its developer. As such, the researchers had the distinct advantage of operating within a flexible framework that lacks predefined steps, which enabled them to create new procedures to address their research question [7].

As for execution, the researchers established an isolated testing environment using the Kali Linux operating system within VirtualBox. Within this controlled environment, GyoiThon was employed to detect vulnerabilities related to data exchange; it analyzed both unencrypted HTTP traffic on Port 80 and encrypted HTTP traffic on Port 443. The target websites were hosted on a server provided by OWASP and accessed via a locally hosted environment.

Since the researchers' hypothesis centered on comparing PT tools with and without ML algorithms, their analysis was limited to GyoiThon's Default Mode and ML Mode. The Default Mode encompassed various steps, including parsing HTTP responses, identifying product/version information, assessing vulnerabilities using Common Vulnerabilities and Exposures (CVE) numbers, examining HTML and JavaScript comments, analyzing debug messages, and assessing login pages [8]. In contrast, the ML Mode incorporated all the steps from the Default Mode, but additionally utilized the Naïve Bayes algorithm for product/version identification [8]. This setup enabled researchers to directly evaluate the effectiveness of the Naïve Bayes algorithm in the realm of PT, aligning with their hypothesis.

### Main Findings

The analysis of the PT procedure conducted using GyoiThon revealed several significant insights. First, it was observed that Port 80, commonly associated with unencrypted HTTP data, exhibited a higher number of vulnerabilities for both the Default and ML modes. This finding aligns with expectations, as Port 80's lack of encryption renders it less secure compared to HTTPS (Port 443). This absence of encryption causes Port 80 to be more susceptible to vulnerabilities and potential attacks, as was reflected in the test results. However, the variation in the number of vulnerabilities detected between these ports decreased with the use of ML mode; by identifying three additional vulnerabilities in Port 80, ML mode reduced the disparity in vulnerability frequency from six to only three [7]. Not only does this outcome highlight the potential of GyoiThon, but it also supports the hypothesis that integrating machine learning into PT tools enhances their effectiveness in identifying vulnerabilities.

While these initial results demonstrate success, it is essential to note that GyoiThon relies on external sources, such as the National Vulnerability Database (NVD), to gather information about vulnerabilities. This reliance is a limitation of the tool’s capabilities as it may be unable to identify vulnerabilities that have not yet been documented in the NVD. This potential blind spot highlights the importance of staying updated with emerging threats and identifies an aspect requiring improvement. As such, while GyoiThon showcases promise as a valuable PT tool, the researchers explain that future testing against real websites and a comprehensive assessment of all nine modes is necessary to obtain a more comprehensive understanding of its capabilities [7]. These findings contribute to the ongoing development of AI-driven PT tools and emphasize the need for continuous refinement to stay ahead of evolving cyber threats.

### Relevance

This report extensively explores the application of ML in PT, using GyoiThon as a focal point, and aligns with the central theme of this report by showing a practical example of how AI techniques can be effectively harnessed for the second phase of the PT process, scanning. Through a comparative analysis between default PT methods and those augmented with AI, this article showcases the effectiveness of AI-driven approaches through direct evidence. This novel and practical study not only highlights the superiority of ML-enhanced techniques but also emphasizes the potential transformative power of AI within the cybersecurity domain. Through empirical evidence, this report encourages further exploration into the integration of AI into the field of PT and invites active engagement for modern AI security solutions.

Arguably most importantly, the article delves into the discussion of common vulnerabilities found in web applications and the various tools used to detect them. This practical understanding of vulnerabilities and the tools and techniques available for their detection and mitigation is essential for effectively navigating the complex digital domain.

## Review 3: Exploitation in PT with RL

#### Introduction

In the paper titled “Vulnerability Exploitation Using Reinforcement Learning,” the authors leverage modern PT techniques, specifically ML and RL, to automate one of the most critical phases in cybersecurity: exploitation. By prioritizing actions that maximize rewards, RL underscores the importance of developing tools that not only identify vulnerabilities but also utilize ML to efficiently exploit them. The authors focus goes beyond automation and emphasizes the need for further evolution in PT to address the complex field of cyber security.

The intelligent agent created in this report prioritizes adaptability, ensuring it can be trained on a wide array of vulnerabilities and operating systems. This approach offers a tailored and intelligent approach to exploitation that challenges traditional methods, which often involve resource-intensive, brute-force techniques that are time and resource intensive [3]. To accelerate the PT process and ensure a more targeted and efficient approach to identifying and exploiting vulnerabilities, this agent leverages Metasploit, a well-known PT tool with a wide range of payloads for various purposes.

What further sets this approach apart is the agent’s ability to archive successful exploits as states alongside corresponding payloads with high success rate. The agent then intelligently leverages this payload repository, known as a Q-table, to execute exploitation with precision – a milestone that demonstrates the potential of RL to leverage an award system and continuously refine and enhance exploitation strategies using AI. This report provides a look into the future of PT, where customization, adaptability, and intelligence combine to not only identify vulnerabilities but to masterfully exploit them.

#### Summary

In this report, the authors utilize ML to create an RL agent that makes decisions by interacting with a fully observable environment. The primary focus of this RL agent lies in the exploitation phase, the third and crucial step in PT. Through an extensive training process, the agent interacts with a simulated environment, dynamically adapting its exploitation strategies by analyzing various factors, including the environment configuration. This adaptive approach is made possible by representing the environment as states, each defined by a unique combination of operating system and vulnerability [3]. These states are then linked to payloads that have demonstrated a high likelihood of success and are stored in a Q-Table. Due to the variability in payload effectiveness based on these states, the authors reward successful attempts, which they define as "the establishment of a reverse shell session following payload execution [3]." Therefore, even in instances where the payload is not successful, the RL agent adjusts its decision-making process based on the rewards it receives; it then learns to prioritize actions that result in positive rewards.

Once the RL agent is trained, it is deployed in a real-world scenario where it encounters target systems with specific operating systems and vulnerabilities. Metasploit serves as a valuable resource as the RL agent selects and utilizes payloads based on its learned strategies, facilitating effective delivery of exploits to compromised target systems. The extensive payload options offered by Metasploit enhance the agent's versatility during the exploitation process. This integration contributes to the authors primary goal of creating a versatile “general agent that is capable of exploiting any/general task and making the appropriate decision [3].”

This combination of ML, RL, and established PT tools represents a significant advancement in the merging of AI and cybersecurity. Through the incorporation of RL algorithms and their integration with established tools like Metasploit, this report demonstrates an evolution of PT. This innovative approach showcases the potential of AI-driven agents to optimize and streamline exploitation tasks, ultimately benefiting cybersecurity professionals in identifying and addressing vulnerabilities in a more efficient and effective manner.

#### Methodologies

The methodologies employed in this study consist of two important phases, the Training Phase and the Exploitation Phase. During the Training Phase, an intelligent agent is developed through the application of RL techniques, using a guess-and-reward system. This phase involves the agent navigating a simulated environment, in which it uses an “epsilon greedy strategy” to make informed decisions by balancing exploration (delivering a randomly selected payload) and exploitation (selecting a specific payload that will yield the highest expected reward based on its learning so far). The agent then receives rewards based on the success or failure of a particular payload, from which it builds a valuable repository of previous exploits and their results. The training phase is then repeated for a certain number of iterations, with a gradual decrease of exploration.

To motivate its decision-making, a point-based reward system is employed that offers substantial rewards for success and imposes penalties for failures. These rewards are maximized by leveraging the Q-learning algorithm, to “determine the best series of actions to take based on the agent’s current state [9].” This approach often results in the agent executing calculated and cautious actions to minimize risks [3].

The learning phase honed its exploitation skills across seven trials, during which the agent spent an average of 2.5 hours executing 500 attempts to exploit vulnerabilities. During this phase, the agent's primary focus was on continuous learning and strategy refinement. It actively experimented with different actions, assessing their success or failure, and served to provide insight into valuable tuning parameters from controlling the importance of new versus old information, long-term versus short-term rewards, to exploration vs exploitation [3]. An assessment of the agent's performance is then calculated to determine how effective it is at establishing a reverse shell. This computationally intensive process positively reflected the agent's ability to actively learn and adapt its exploitation techniques by making informed decisions.

In the exploitation phase, the RL agent took advantage of its learned strategies, drawing insights from its repository to effectively select payloads from the Metasploit framework. To simulate real-world scenarios, it was deployed on multiple vulnerable machines with a "remote code execution" [3] vulnerability found in Apache CouchDB, specifically Version 3.1.0. The agent's primary objective was to establish a reverse shell, which was achieved with remarkable efficiency by leveraging payloads with the “highest rank in the Q-Table [3].” Impressively, it accomplished this goal in an average of just 8.26 seconds across the tested systems. This performance indicates that the training phase prepared the agent well and proved its ability to effectively execute learned strategies against real-world systems.

#### Main Findings

The study's main findings highlight the remarkable effectiveness of the RL agent in automating exploitation tasks, particularly within the realm of PT. As the RL agent gains experience through training, it exhibits a gradual shift from exploration to exploitation, becoming more discerning in its actions. For example, while it initially explores new actions to gather information, over time it prioritizes actions it has deemed effective for achieving its goals. This transition, combined with the selection of optimal parameters, consistently resulted in an average success rate of 83.64% and an average exploit time of 8.26 seconds [3]. These notable statistics highlight the potential of the RL approach to significantly reduce the time and resources required for PT, presenting a novel and cost-effective solution to the challenges of vulnerability exploitation.

In contrast to traditional exhaustive testing methods, which often follow rigid approaches, the RL agent's adaptability and capacity for fine-tuning its strategies prove beneficial. By focusing on maximizing overall rewards and balancing learning and randomness, the RL approach proves more efficient and effective in verifying exploitable vulnerabilities. In summary, the main findings of this study emphasize the RL agent's aptitude for automating exploitation tasks, its proficiency in achieving PT objectives, and its potential to revolutionize vulnerability assessment practices.

#### Relevance

This study explores modern techniques in cybersecurity, highlighting the innovative use of RL algorithms for vulnerability exploitation and emphasizing the field's dynamic nature. The authors take a comprehensive approach as they explore not only the capabilities of RL but also its adaptability. Notably, they explore versatile fine-tuning options, such as learning rate and exploration rate, and provide insights into the impacts of these methods. The study also examines RLs application in fully observable environments, utilizing Metasploit and Q-tables instead of the previously mentioned POMDP and belief sets in partially observable scenarios. This multifaceted exploration demonstrates how RL techniques can be adapted and leveraged effectively across different cybersecurity scenarios, aligning seamlessly with this reports goal of understanding AI techniques in cybersecurity.

Additionally, since RL consistently selects the most effective actions to maximize rewards, it directly addresses a critical aspect of Penetration Testing, particularly in Step 3 - Exploitation. By prioritizing the actions that yield the highest rewards, RL showcases the importance of developing similar tools that not only identify vulnerabilities but utilize ML to efficiently exploit them. Overall, this research broadens perspectives on the possibilities within the field of cybersecurity and highlights its crucial role in staying current with the dynamic landscape of digital threats.

# **Proposed Methodology and Solutions**

Review/ Evaluate lit review and their solutions

Identify shortcomings and areas for improvement in current methodologies.

Present your proposed approach to addressing the identified limitations and gaps.

Describe the methods i will use to verify and test the efficacy of proposed approach

The insights derived from this literature review form a strong foundation for the exploration in harnessing AI for PT. Notably, the literature highlights the growing significance of AI-driven PT tools, which encompass AI-enhanced vulnerability detection, network scanning, and exploit selection and execution. By exploring the initial and crucial phases of the PT process, [8] and [3] use practical demonstrations as an approach to ensure deeper understanding of how PT tools can be utilized and developed for AI in PT.

Additionally, the literature review sheds light on the ethical dilemmas inherent when employing AI in cybersecurity, as discussed in [10]. This insight alludes to the importance of discussing responsible and ethical practices. Thus, it is important to not only emphasize technical proficiency, but also instill an awareness to the ethical considerations associated with PT. Since these ethical considerations hold great significance in the cybersecurity domain, it is important to address these issues early and thoroughly.

Furthermore, the research emphasizes the criticality of executing each PT step methodically and meticulously. For example, in the initial step of Gathering Information, it is important to determine whether the investigation targets a fully or partially observed environment. Drawing from [1] and [3] it becomes evident that this knowledge significantly impacts the choice of exploit techniques. By recognizing the nuances of different environmental conditions and their implications on exploit selection, researchers can construct more robust methodologies tailored to specific scenarios. As a result, ensuring a comprehensive understanding not only of PT steps and techniques but also of environmental variables and system behaviors will be harnessed to optimize the effectiveness and adaptability of AI-driven penetration testing solutions.

These discoveries, which acknowledge the growing demand for AI-driven PT tools, ethical considerations, the imperative for a comprehensive understanding of PT steps and techniques, a proficient grasp of environmental scenarios, and the necessity for practical demonstrations, provide ??

The insights derived from this literature review form a strong foundation for exploring the growing significance of AI-driven PT tools for the crucial phases of vulnerability detection, network scanning, and exploit selection and execution. By using practical demonstrations to emphasize the criticality of executing each PT step methodically and meticulously, researchers are able to refine their understanding and make unique and targeted choices for their exploit techniques. For example, when gathering target information, it is important to determine whether the investigation targets a fully or partially observed environment. Drawing from [1] and [3] it becomes evident that this knowledge significantly impacts the choice of exploit techniques. By recognizing the nuances of different environmental conditions and their implications on exploit selection, researchers can construct more robust methodologies tailored to specific scenarios. As a result, they are able to ensure the resulting model has a comprehensive understanding of not only the PT steps and techniques but also of environmental variables and system behaviors.

# **Conclusion**

Summarize the key findings and contributions of the research project.

Highlight the importance of leveraging AI in penetration testing for enhanced cybersecurity.

**Explore:**

Accuracy/robustness: ensemble learning, regularization, and model interpretability

environmental adaptability: transfer learning, domain adaptation, and dynamic model adaptation strategies

# **References**

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